



EV 546076755

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/751,437
	Filing Date	12/29/2000
	First Named Inventor	Dent et al.
	Group Art Unit	3628
	Examiner Name	HARISH T DASS
Total Number of Pages in This Submission	Attorney Docket Number	MS1-423USC1

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Request for Refund	Return Post Card
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> CD, Number of CD(s)	
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	David S. Thompson/Reg. No. 37954
Signature	
Date	November 24, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	LeAnn M. Sassman	
Signature		Date 11/24/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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EV546076755

PTO/SB/17 (10-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

0

Complete if Known

Application Number 09/751,437

Filing Date 12/29/2000

First Named Inventor Dent et al.

Examiner Name HARISH T DASS

Art Unit 3628

Attorney Docket No. MS1-423USC1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

12-0769

Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1001	790	2001	395	Utility filing fee
1002	350	2002	175	Design filing fee
1003	550	2003	275	Plant filing fee
1004	790	2004	395	Reissue filing fee
1005	160	2005	80	Provisional filing fee

Fee Paid

SUBTOTAL (1)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20 =	x 18	=
Indep. Claims	-3 =	x 88	=
Multiple Dependent			=

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Code (\$) Code (\$)

1202 18 2202 9

1201 88 2201 44

1203 300 2203 150

1204 88 2204 44

1205 18 2205 9

Fee Description

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

** Reissue independent claims
over original patent

** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above.

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Code (\$) Code (\$)

1051 130 2051 65

1052 50 2052 25

1053 130 1053 130

1812 2,520 1812 2,520

1804 920* 1804 920*

1805 1,840* 1805 1,840*

1251 110 2251 55

1252 430 2252 215

1253 980 2253 490

1254 1,530 2254 765

1255 2,080 2255 1,040

1401 340 2401 170

1402 340 2402 170

1403 300 2403 150

1451 1,510 1451 1,510

1452 110 2452 55

1453 1,330 2453 665

1501 1,370 2501 685

1502 490 2502 245

1503 660 2503 330

1460 130 1460 130

1807 50 1807 50

1806 180 1806 180

8021 40 8021 40

1809 790 2809 395

1810 790 2810 395

1801 790 2801 395

1802 900 1802 900

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Fee Description

Surcharge - late filing fee or oath

Surcharge - late provisional filing fee or
cover sheet

Non-English specification

For filing a request for *ex parte* reexamination

Requesting publication of SIR prior to
Examination action

Requesting publication of SIR after
Examiner action

Extension for reply within first month

Extension for reply within second month

Extension for reply within third month

Extension for reply within fourth month

Extension for reply within fifth month

Notice of Appeal

Filing a brief in support of an appeal

Request for oral hearing

Petition to institute a public use proceeding

Petition to revive - unavoidable

Petition to revive - unintentional

Utility issue fee (or reissue)

Design issue fee

Plant issue fee

Petitions to the Commissioner

Processing fee under 37 CFR 1.17(q)

Submission of Information Disclosure Stmt

Recording each patent assignment per
property (times number of properties)

Filing a submission after final rejection
(37 CFR § 1.129(a))

For each additional invention to be
examined (37 CFR § 1.129(b))

Request for Continued Examination (RCE)

Request for expedited examination of a design
application.

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

Fee Paid

SUBMITTED BY

Name (Print/Type)

David S. Thompson

Registration No.
(Attorney/Agent)

37954

Telephone

509-324-9256

Signature

David S. Thompson

Date

11/24/2004

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11-26-04

EV 546076755 3628
DFV



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.:09/751,437
Filing Date: December 29, 2000
Inventors: Dent, et al.
Applicant: Microsoft Corporation
Group Art Unit: 3628
Examiner: Dass
Confirmation No.: 8758
Attorney's Docket No.: MS1-423USC1
Title: A System and Method Facilitating
 Personal Electronic Financial Transactions

RESPONSE TO NON-FINAL OFFICE ACTION OF AUGUST 24, 2004

To: Mail Stop: Amendments
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

From: David S. Thompson
 Customer No. 22801

In response to the Final Office Action of August 24, 2004, in connection with the above-identified application, the following amendments and remarks are submitted. Favorable consideration is respectfully requested.